

WEALTH SHAPE

Form CRS – Customer Relationship Summary (as of March 23, 2026)

WealthShape, LLC (“WealthShape,” “we,” “our,” or “us”) is an investment adviser registered with the U.S. Securities and Exchange Commission. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. Free and simple tools to research firms and financial professionals are available at www.investor.gov/CRS, which also provides educational materials about investment advisers, broker-dealers and investing.

WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

WealthShape provides investment advisory services, including discretionary investment management, financial planning and retirement plan advisory services to individuals, families, trusts and retirement plans. Primary services include:

- Ongoing discretionary investment management
 - Financial planning and wealth management
 - Retirement plan advisory services, including ERISA fiduciary services
 - Portfolio monitoring, rebalancing and tax optimization and tax loss harvesting, where applicable
- When we provide discretionary investment management, we have authority to select investments and execute transactions on your behalf without obtaining your prior approval for each transaction, subject to your stated investment objectives, risk tolerance and any reasonable restrictions you impose. We monitor client accounts on an ongoing basis and conduct regular account reviews at least annually as part of our standard services. We invest client accounts primarily into exchange traded funds and mutual funds.

Minimum account size: Generally \$10,000, which may be waived on a case-by-case basis. For additional information please see our [Form ADV Part 2A brochure](#), including, specifically Item 4 and 7.

Conversation Starters:

- *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*

WHAT FEES WILL I PAY?

WealthShape is a fee-only investment adviser. Advisory fees are generally charged as a percentage of assets under management and billed quarterly in arrears. To calculate your quarterly advisory fee amount, we apply the annual fee percentage divided by four to the quarter-end value of your account(s) on the last trading day of the quarter.

Standard advisory fee schedule (negotiable in certain circumstances):

- 0.85% on the first \$1,000,000
- 0.65% on the next \$2,000,000
- 0.55% on assets over \$3,000,000

Because our fees are based on the amount of assets we manage, we have an incentive to encourage you to increase assets under our management, including through rollovers or additional contributions. In addition to our advisory fees, you will also pay custodial fees, transaction costs, and internal fees and expenses

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charged by mutual funds or exchange-traded funds. For financial planning only and retirement plan consulting services, we have the ability to charge a flat or hourly fee. Additional information about our fees is provided in Item 5 of our [ADV Part 2A Brochure](#).

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Conversation Starters:

- *Help me understand how these fees and costs might affect my investments.*
- *If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN ACTING AS MY INVESTMENT ADVISER? HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means. Because our compensation is based on assets under management, we have a financial incentive for you to increase assets we manage, including through rollovers or additional investments. We address these conflicts through disclosures, policies and procedures designed to ensure advice is provided in your best interest. We document why a rollover is in your best interest.

Conversation Starter:

- *How might your conflicts of interest affect me, and how will you address them?*

HOW DO YOUR FINANCIAL PROFESSIONALS MAKE MONEY?

Our financial professionals are compensated solely through advisory fees paid by clients, which creates an incentive for them to recommend that you increase assets we manage for you. They do not receive commissions, sales-based incentives or compensation from product sponsors.

DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?

No. Visit [Investor.gov/CRS](https://www.investor.gov/crs) for a free and simple search tool to research us and our financial professionals.

Conversation Starter:

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

ADDITIONAL INFORMATION

For additional details about our services, fees, and conflicts of interest, please review our [Form ADV Part 2A brochure](#), including Items 4, 5, and 10, and our Brochure Supplement (Part 2B). You may request a copy of this relationship summary or additional information by contacting us at 860-837-0303 or visiting www.wealthshape.com.

Conversation Starter:

- *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*